



UNL 3055.1  
PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Goddard et al.

Serial No. 09/878,787

Filed June 11, 2001

Confirmation No. 1463

For SYSTEM AND METHOD FOR AN APPLICATION-SPACE SERVER CLUSTER

September 17, 2001

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

Respectfully submitted,

James J. Barta, Jr., Reg. No. 47,409  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

JJB/cjl

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<b>PTO/SB/08A</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/878,787
				Filing Date	June 11, 2001
				Confirmation Number	1463
				First Named Inventor	Goddard et al.
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket No.	UNL 3055.1



U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	5,774,660		Brendel et al.	06/30/1998
	2	6,006,264		Colby et al.	12/21/1999
OTHER ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>6</sup>
	3	GAN et al., "Highly Available and Scalable Cluster-based Web Servers," submitted to The Eighth IEEE International Conference on Computer Communications and Networks, submitted October 1999, U.S.A., 12 pages			
	4	SCHROEDER et al., The SASHA Cluster-Based Web Server, <a href="http://www.zweknu.org/tech/src/ismac2/paper/paper.html">http://www.zweknu.org/tech/src/ismac2/paper/paper.html</a> , accessed April 4, 2000, U.S.A., 14 pages			
	5	SCHROEDER et al., "Scalable Web Server Clustering Technologies," IEEE Network, May/June 2000, U.S.A., pp. 38-45			

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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